

Warsaw, December 7, 2021

## **Current Report No. 40/2021**

### **Subject: Extension of the brokerage license of Bank Handlowy w Warszawie S.A.**

**Legal basis:** Art. 17 of the Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (MAR).

The Management Board of Bank Handlowy w Warszawie S.A. (“the Bank”), announces that on December 7, 2021 the Bank received a decision of the Polish Financial Supervision Authority granting the Bank permission to conduct brokerage activities in the scope of:

- executing orders to purchase or sell financial instruments for the account of a person placing an order,
- acquiring and disposing of financial instruments for its own account,
- investment advice,
- offering of financial instruments,
- providing services under the concluded commitment underwriting agreements or performance of other similar agreements if they involve financial instruments,
- storing or recording financial instruments, including maintaining securities accounts derivatives accounts, summary accounts and cash accounts,
- providing consulting services for companies regarding capital structure, company strategy or other issues related to such a structure or strategy,
- advising and other services relating to company mergers, demergers and acquisition,
- currency exchange, where it is associated with brokerage business to the extent specified in Article 69 (2) of the Trading in Financial Instruments Act,
- preparing investment analysis, financial analysis and other recommendations of a general nature relating to transactions in financial instruments,
- providing additional services related to underwriting of the issue.

The license will form the basis for a combination of the brokerage activities currently conducted by the Bank's subsidiary - Dom Maklerski Banku Handlowego S.A. and the service of acceptance and transfers of orders provided by the Bank, thus introducing a significant change in the scope of services provided to clients directly by the Bank.